

# IRA Transfer Form

## Class A, C, I, and S Shares

ICON

1-800-764-0442

www.iconadvisers.com

### INSTRUCTIONS

Use this form when transferring funds from an existing IRA to an ICON Funds IRA. In order to purchase Class A shares, you must have an investment representative. If you are establishing a new account, you must also complete an ICON Funds IRA Application. Please note:

- Your current custodian/trustee may require that you obtain a Medallion Guarantee to process this transfer. See the prospectus and Section 7.
- A Medallion Guarantee may be obtained by a domestic commercial bank, trust company, a member firm of a national securities exchange, or a savings & loan association. A notarization by a notary public will NOT be accepted.

Please mail completed form to one of the following addresses:

**Direct Mail:**

ICON Funds  
P.O. Box 55452  
Boston, MA 02205-8165

**Overnight Express Mail:**

ICON Funds  
30 Dan Road  
Canton, MA 02021-2809

For help with this form, or for Fund information, prices and literature, call 1-800-764-0442. We recommend that you consult your tax adviser regarding the particular tax consequences of any investment option.

Consider the investment objectives, risks, charges, expenses, and share classes of each ICON Fund carefully before investing. The prospectus, summary prospectus, and the statement of additional information and the Disclosure Agreement contain this and other important information about the Funds and your account. Please read the prospectus, summary prospectus, the statement of additional information, and the Disclosure Agreement carefully before investing.

### 1 INVESTOR INFORMATION

NAME (FIRST, MIDDLE, LAST)

SOCIAL SECURITY NUMBER

ADDRESS (P.O. BOX IS NOT ACCEPTABLE)

APT/SUITE

CITY/STATE/ZIP

DAYTIME PHONE NUMBER

EVENING PHONE NUMBER

### 2 INVESTMENT PROFESSIONAL INFORMATION

If applicable, this section should be completed by your Investment Representative. Incomplete information will cause a delay in processing the investment. This application for the purchase of shares complies with the terms of the applicable agreement with ICON Distributors, Inc., and with the current ICON Funds prospectus. I hereby authorize Boston Financial Data Services, Inc., to act as my Agent in connection with transactions under this IRA Transfer Form and I agree to notify ICON Funds of any purchases of shares which may be eligible for a reduced or eliminated sales charge. I hereby make, constitute, appoint and authorize the Investment Professional listed below to constitute my true lawful agent, for me in my name, place and stead to act on my behalf in connection with all transactions under this account application.

REPRESENTATIVE'S NAME

REPRESENTATIVE'S TELEPHONE NUMBER

### 3 CURRENT IRA CUSTODIAN

NAME OF CURRENT CUSTODIAN (BANK, SAVINGS AND LOAN, MUTUAL FUND, ETC.)

ADDRESS

APT/SUITE

CITY/STATE/ZIP

ACCOUNT NUMBER OR CERTIFICATE OF DEPOSIT (CD)\*

MATURITY DATE (IF APPLICABLE)

### 4 CONVERSION OF TRADITIONAL IRA TO ROTH IRA

- Check here if you are distributing assets from a Traditional IRA with the intention of establishing a Conversion Roth IRA.

### 5 INVESTMENT INSTRUCTIONS

- Use the transferred funds to open a new ICON Funds account. If you are opening a new account, this form must be accompanied by a completed IRA Application.

- IRA
- Rollover IRA
- SEP-IRA
- SIMPLE IRA
- Roth IRA
- Conversion Roth IRA

Year Established \_\_\_\_\_

(Year in which Traditional IRA was converted to a Roth IRA)

- Invest the transferred funds into my existing ICON Funds account.

ICON Account #

- IRA
- Rollover IRA
- SEP-IRA
- SIMPLE IRA
- Roth IRA
- Conversion Roth IRA

PLEASE REFER TO THE LIST OF AVAILABLE  
ICON MUTUAL FUND SHARE CLASSES ON PAGE 2.

## 5 INVESTMENT INSTRUCTIONS (CONTINUED)

Fund (Fund Number)	Existing Account #	Investment Amount or % (\$1,000 minimum per Fund)
ICON Asia-Pacific Region Fund Class S (3369)	_____	\$ _____ %
ICON Asia-Pacific Region Fund Class A (3395)	_____	\$ _____ %
ICON Asia-Pacific Region Fund Class C (3403)	_____	\$ _____ %
ICON Bond Fund Class C (3375)	_____	\$ _____ %
ICON Bond Fund Class I (3376)	_____	\$ _____ %
ICON Bond Fund Class A (3350)	_____	\$ _____ %
ICON Consumer Discretionary Fund Class S (3361)	_____	\$ _____ %
ICON Consumer Discretionary Fund Class A (3351)	_____	\$ _____ %
ICON Consumer Discretionary Fund Class C (3405)	_____	\$ _____ %
ICON Consumer Staples Fund,* Class S (3365)	_____	\$ _____ %
ICON Consumer Staples Fund,* Class A (3357)	_____	\$ _____ %
ICON Consumer Staples Fund,* Class C (3411)	_____	\$ _____ %
ICON Core Equity Fund Class C (3374)	_____	\$ _____ %
ICON Core Equity Fund Class I (3373)	_____	\$ _____ %
ICON Core Equity Fund Class A (3396)	_____	\$ _____ %
ICON Energy Fund Class S (3362)	_____	\$ _____ %
ICON Energy Fund Class A (3352)	_____	\$ _____ %
ICON Energy Fund Class C (3406)	_____	\$ _____ %
ICON Equity Income Fund Class C (3379)	_____	\$ _____ %
ICON Equity Income Fund Class I (3380)	_____	\$ _____ %
ICON Equity Income Fund Class A (3390)	_____	\$ _____ %
ICON Europe Fund Class S (3371)	_____	\$ _____ %
ICON Europe Fund Class A (3394)	_____	\$ _____ %
ICON Europe Fund Class C (3401)	_____	\$ _____ %
ICON Financial Fund Class S (3363)	_____	\$ _____ %
ICON Financial Fund Class A (3353)	_____	\$ _____ %
ICON Financial Fund Class C (3407)	_____	\$ _____ %
ICON Healthcare Fund Class S (3364)	_____	\$ _____ %
ICON Healthcare Fund Class A (3354)	_____	\$ _____ %
ICON Healthcare Fund Class C (3408)	_____	\$ _____ %
ICON Industrials Fund Class S (3368)	_____	\$ _____ %
ICON Industrials Fund Class A (3355)	_____	\$ _____ %
ICON Industrials Fund Class C (3409)	_____	\$ _____ %
ICON Information Technology Fund, Class S (3366)	_____	\$ _____ %
ICON Information Technology Fund, Class A (3356)	_____	\$ _____ %
ICON Information Technology Fund, Class C (3410)	_____	\$ _____ %
ICON International Equity Fund Class C (3388)	_____	\$ _____ %
ICON International Equity Fund Class I (3389)	_____	\$ _____ %
ICON International Equity Fund Class A (3393)	_____	\$ _____ %
ICON International Equity Fund Class S (3400)	_____	\$ _____ %
ICON Long/Short Fund Class C (3381)	_____	\$ _____ %
ICON Long/Short Fund Class I (3382)	_____	\$ _____ %
ICON Long/Short Fund Class A (3391)	_____	\$ _____ %
ICON Materials Fund, Class S (3360)	_____	\$ _____ %
ICON Materials Fund, Class A (3358)	_____	\$ _____ %
ICON Materials Fund, Class C (3412)	_____	\$ _____ %
ICON Risk-Managed Equity Fund* Class C (3377)	_____	\$ _____ %
ICON Risk-Managed Equity Fund* Class I (3378)	_____	\$ _____ %
ICON Risk-Managed Equity Fund* Class A (3392)	_____	\$ _____ %
ICON Telecommunication & Utilities Fund, Class S (3367)	_____	\$ _____ %
ICON Telecommunication & Utilities Fund, Class A (3359)	_____	\$ _____ %
ICON Telecommunication & Utilities Fund, Class C (3413)	_____	\$ _____ %
<b>TOTAL</b>	_____	\$ _____ %

\*Effective January 24, 2011, ICON Leisure and Consumer Staples Fund was renamed ICON Consumer Staples Fund.

## 6 INSTRUCTIONS TO CURRENT CUSTODIAN

**To current Custodian:** Please consider this your authority to sell

all of my assets **OR**

\$\_\_\_\_\_ of my assets in the account identified in Section 3 above and prepare a check to ICON Funds. It is my intention to transfer these assets to the above-named Fund, for which State Street Bank and Trust Company acts as Custodian. Please send the check representing the assets, along with a copy of this form to: **ICON Funds, P.O. Box 55452, Boston, MA 02205-8165**

I am over the age of 70<sup>1</sup>/<sub>2</sub> and the required minimum distribution for the current calendar year has been withheld from the assets being transferred.

**Transfer:**  Immediately  At maturity

## 7 SIGNATURE (EXACTLY AS REGISTERED)

I certify that I have received and read the prospectus(es) for the Fund(s) into which I am transferring my IRA. **Thank you for your prompt handling.** I hereby agree to indemnify and hold ICON Funds and its agents harmless for acting upon instructions, either oral or in writing, pursuant to this form.

**Under the penalty of perjury, I certify that (1) the Social Security Number or Taxpayer Identification Number shown on this form is my correct Taxpayer Identification Number, and (2) I am a U.S. person (including a U.S. resident alien).**

\_\_\_\_\_  
PRINT NAME

X

\_\_\_\_\_  
SIGNATURE\*

\_\_\_\_\_  
DATE

**NOTE:** A Medallion Guarantee may be executed by an "eligible" guarantor. Eligible guarantors include commercial banks, trust companies, savings associations, and credit unions as defined by the Federal Deposit Insurance Act. Registered Broker-Dealers are also eligible. The Guarantee must state the words "Medallion Guarantee" along with the name of the granting institution, applied by an ink stamp before execution by the eligible guarantor. Verify with the institution that it is an eligible guarantor prior to signing the form.

**A NOTARIZATION BY A NOTARY PUBLIC IS NOT ACCEPTABLE.**

\_\_\_\_\_  
PRINT NAME OF MEDALLION GUARANTOR

X

\_\_\_\_\_  
SIGNATURE & TITLE (OFFICER OF GUARANTOR INSTITUTION)

\_\_\_\_\_  
DATE

\_\_\_\_\_  
PRINT NAME OF MEDALLION GUARANTOR INSTITUTION

**» AFFIX MEDALLION GUARANTEE STAMP:**

## 8 CUSTODIAN ACCEPTANCE

**To be completed by State Street Bank and Trust Company:** State Street Bank and Trust Company agrees to accept transfer of the above amount for deposit to the Depositor's State Street Bank and Trust Company Individual Retirement Account and requests the liquidation and/or transfer of assets as indicated above.

X

\_\_\_\_\_  
STATE STREET BANK AND TRUST COMPANY

\_\_\_\_\_  
DATE