



**CARRIE SCHOFFMAN**  
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*Vice President,  
Chief Operations Officer, and  
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**CARRIE SCHOFFMAN**, CPA, IACCP, CSCP is vice president, chief operations officer, and chief compliance officer of ICON Advisers, Inc. Her role is to ensure that the firm complies with all federal and state securities laws and industry regulations, and oversee the operational functions at ICON. Ms. Schoffman has more than 15 years of experience in the areas of public accounting, consulting, federal securities examination, and internal auditing. Prior to joining ICON, Ms. Schoffman served as a staff accountant with the Securities and Exchange Commission and was a manager with PricewaterhouseCoopers LLP. She received a bachelor's degree in business administration from Colorado State University, is an Investment Adviser Certified Compliance Professional and a Certified Securities Compliance Professional. Ms. Schoffman is a member of the National Society of Compliance Professionals, the American Institute of Certified Public Accountants, and the Colorado Society of Certified Public Accountants. She also serves on the Chief Compliance Officer, Compliance Advisory, Investment Advisers and Internal Audit Advisory Committees of the Investment Company Institute.

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